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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/019,893

03/07/2002

Erkki Solala

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PERMAN & GREEN  
425 POST ROAD  
FAIRFIELD, CT 06824

EXAMINER

LIU, JONATHAN

ART UNIT

PAPER NUMBER

2663

DATE MAILED: 01/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/019,893	Applicant(s) SOLALA, ERKKI	
	Examiner Jonathan Liou	Art Unit 2663	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 07 March 2002.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 March 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input checked="" type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)                        |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____   |

### **DETAILED ACTION**

The second office action is sent without a response due to the deficiency on the last office action. On 13 January 2006, Applicant pointed out that the preliminary amendment has not been considered on the previous office action by the examiner. Thus, the second office action is sent to provide and address all of changes and limitations recited in the preliminary amendment.

#### ***Claim Rejections - 35 USC § 101***

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim 20 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. A computer program product per se could not be statutory. The computer program product is not claimed as executable and embodied in a computer-readable medium or apparatus, which does not permit the computer program product to be realized.

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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3. Claims 1-2, 4-6, 8-9, 11-12, 14-16, 18, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hammond et al. (US Pat No. 5,915,087.), in view of Yano Koichi (Jap. Pub No. 10-136336.)

4. As per claims 1, 11, and 20, Hammond et al. teach a method and a server for controlling access right of a message received from a terminal at the server and where the message is processed by a protocol stack (**See Fig. 2, the terminal is client 214 and firewall 210 could be part of server for controlling access right of a messaged from security monitor 226 and security monitor would send the authorized messages back to port manager, which comprises the protocol stack.**), and a computer program product to control the access of the message (**See Fig. 2, 4, and col 4, lines 21-40, Hammond et al.**), wherein comprising:

a sender address specifying the address of the terminal, (**See col 4, lines 50-54, Hammond et al.**)

a port number specifying and application address of the instance sending the message at the terminal, and user data including the contents of the message (**See col 5, lines 13-15, and col 5, lines 26-34, Hammond et al.**) , and the server and method comprising;

License control means (**security monitor 226**) for controlling the access right of the message to enter the server before the message is allowed to passed to the protocol stack (**port manager 224**) (**Hammond et al. teach the message is relay to port manager and send to control function of security monitor to prevent the**

**further routing of non conforming messages. Then, the right message is forwarded back to port manager for routing through protocol stack and relay to server. See Fig. 2 and col 5, lines 6-9, Hammond et al.),** characterized in that the server further comprises,

connection means for establishing a session between the server and the terminal and for receiving the data packet within the session (**See Fig. 2, Hammond et al.**)

Hammond et al. does not specifically teach storing a number of access right licenses and reserving access right of license, and make sure the number of reserved does not exceed the number of purchased access right license. Nevertheless, Yano Koichi teaches the limit of a number of clients is prepared ahead as reserved (See [0013], Detail Description, Yano Koichi.), and access control module has grasped the current number of clients and has access newly and it would judges whether the number of allowance communication links of a circuit is exceeded (See [0018], Detail Description, Yano Koichi.) Moreover, Yano Koichi teach that the structure of the invention could be use as a personal computer or workstation (See [0048], Means, Yano Koichi.) Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to controlling the number of reserved licenses and newly arrived having access right licenses because it would provide the better traffic control on the traffic and make sure CPU storage having enough space for clients having access right to connect with server. Moreover, Hammond et al. teach the firewall and security of server (See col 1, lines 22-29, Hammond et al), and these kind of system is generally used to distinguish the proper clients accessing the server.

5. As per claims 2 and 12, Hammond et al. teaches means for reading both sender address and the port number from the data packet for identifying the terminal (**See col 5, lines 26-34, Hammond et al.**)

6. As per claims 4 and 14, Hammond et al. teach a server comprising:  
reservation means for reserving a license for the session as a response to the license control means (**security monitor 226**) having determined existence of access right (**If the existence of access right, the link table tracks with ports as shown in Fig. 3**)

timing means provides a time stamp for how long a port is being held open waiting for further message from the client (**See Fig. 2 and col 5, lines 11-12, Hammond et al.**)

7. As per claims 5 and 15, the limitation set forth is similar to claim 1; therefore, the same basis and rationale as applied to claim 5 are applied.

8. As per claims 6 and 16, Hammond et al. teach means for forwarding the message and discarding the message (**See col 6, lines 32-42, Hammond et al.**)

9. As per claims 7 and 17, Hammond et al., in view of Yano Koichi, teach a discarded message as described in claims 6 and 16. They do not specifically teach means for returning an error message to the terminal in response to a discarded message. Nevertheless, Hammond et al. teaches to delete the discarded message and teaches it's not necessary send back to terminal for modify since the client does not see the proxy (**See col 2, lines 28-33, Hammond et al.**) Hammond et al. also teaches sending the message to the client as response from the server (**See col 6, lines 25-31,**

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Hammond et al.) Since in the Hammond et al.'s structure, it is not necessary to modify the proxy and the message could be just deleted. However, it does not exclude the structure of Hammond et al. could have send the error message since Hammond et al. teach sending the response message and deleting the invalid message (See col 6, lines 25-31, Hammond et al.) Therefore, it would have been obvious for one having ordinary skill in the art at the time the invention was made to send the error message in response to a discarded message since Hammond et al. teaches the discarded message and also teaches the response function (See col 6, lines 25-31, Hammond et al.) By sending the error message in response to a discarded message would give the client idea that their message have fail and determined if it does need to modify or not.

10. As per claims 8 and 9, after the license (port) has been released, checking the new message, and also if the packet arrive before the timeout, the access checking performs and use the tracking list to track the port (**See col 5-6, lines 48-42, and Fig. 3-4, Hammond et al.**)

11. As per claim 18, Hammond et al. teach plurality of clients (**See col 5, lines 6-10, Hammond et al.**)

12. Claims 3, 10, 13, and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hammond et al. (US Pat No. 5,915,087.), in view of Yano Koichi (Jap Pub No. 10-136336.), and further in view of Pepe et al. (US Pat No. 5,673,322.)

13. As per claims 3 and 13, Hammond et al., in view of Yano Koichi teach communicating messages with network and for adapting messages received from the client for the protocol stack and after the adaptation performing the checking of the

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access right (See Fig. 2 and col 4-5, lines 65-15, Hammond et al.) Hammond et al. does not teach the client is using wireless protocol with wireless network. However, Pepe et al. teach using wireless connection and wireless protocol for point-to-point communication and with security feature (See col 6, lines 65-67, col 7, lines 15-30, Pepe et al.) In addition, Pepe et al. system provides the communication between client and server through protocol layers (See Fig. 4-5, Pepe et al.) Thus, it would have been obvious for one who has ordinary skill in the art at the time the invention was made to communicate through a wireless network because the wireless network would provide the advantage for the client and server to cover the broader range.

14. As per claims 10 and 19, Pepe et al. teach mobile station supporting the wireless protocol (See Fig. 2, and col 7, lines 15-30.), and Pepe et al. also teach modem, which could be interpreted as the gateway, to transmitting between client to server (See col 11, lines 60-67, and Fig. 3, Pepe et al.) The same rationale, motivation, and basis as applied to claims 3 and 13 are applied to the remainder of the claims 10 and 19.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan Liou whose telephone number is 571-272-8136. The examiner can normally be reached on 8:00AM - 5:00PM Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on 571-272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jonathan Liou

11/16/2005

  
RICKY Q. NGO  
SUPERVISORY PATENT EXAMINER